

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

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VINCENT T. LOWRY, as a Seller :
Representative and in his individual :
capacity, and JOSEPH N. GOMPERS, :

Plaintiffs, :

v. :

OPPENHEIMERFUNDS, INC., :
INVESCO LTD., and MM ASSET :
MANAGEMENT HOLDING LLC, :

Defendants. :
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Case No. 1:20-cv-02288-VSB

**STIPULATION OF DISMISSAL
PURSUANT TO
F.R.C.P. 41(a)(1)(A)(ii)**

IT IS HEREBY STIPULATED AND AGREED, by and between the parties, that the above-captioned action is voluntarily dismissed, with prejudice, pursuant to Federal Rule of Civil Procedure 41(a)(1)(A)(ii), as to all remaining defendants. By way of Order dated March 31, 2022, the Court dismissed defendant MM Asset Management Holding LLC. Pursuant to this stipulation, the only remaining defendants, OppenheimerFunds, Inc. and Invesco Ltd., who are represented by the counsel identified below, are dismissed with prejudice.

Dated: March 3, 2023

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